

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Robert B. PHELPS
Title: METHOD OF ENHANCING
VALUE OF PENSION PLAN
ASSETS
Appl. No.: 10/636,125
Filing Date: 08/07/2003
Examiner: Vanel Frenel
Art Unit: 3687
Confirmation Number: 1568

STATEMENT OF SUBSTANCE OF INTERVIEW

Mail Stop Issue
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance to 37 C.F.R. § 1.133, submitted herewith is a record of the substance of the telephone interview on October 21, 2009, between Examiner Vanel Frenel and the undersigned, regarding the above-captioned application.

A telephone call was received from Examiner Frenel on October 21, 2009, proposing to allow the application if the dependency of claim 2 was corrected, and claim 29 was cancelled, and claim 28 was amended to recite "The method according to claim 1, wherein one of the steps of claim 1 is performed by a third party."

The undersigned agreed to make claim 2 dependent on claim 1, to cancel claim 29 without prejudice, and to amend claim 28 as recited above.

Agreement was reached that the application would be allowed.

Should additional fees be necessary in connection with the filing of this paper, or if a petition for extension of time is required for timely acceptance of same, the Commissioner is hereby authorized to charge Deposit Account No. 19-0741 for any such fees; and applicant(s) hereby petition for any needed extension of time.

Respectfully submitted,

Date November 5, 2009

By 

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